

REGULATORY DISPUTES

Bougartchev Moyne Associés appears before all independent administrative authorities, in particular the French financial markets authority (AMF) and the French prudential and resolution supervisory authority (ACPR), at all stages of proceedings, from the investigation stage through the hearing before the Sanctions Commission.

- We defended the interests of a leading business bank in parallel with an investigation initiated by the French financial markets authority (AMF) in connection with a fraud in the warrants market, of which the bank had been a victim.
- We defended the interests of a well-known banker in connection with a case of alleged insider trading, and obtained his exoneration before the French financial markets authority.
- We defended the interests of a well-known banker in connection with a case of alleged price manipulation, and obtained his exoneration before the French financial markets authority.
- We defended the interests of the representatives of a pharmaceutical group before the French financial markets authority (AMF) in connection with the inadequate quality of information it had disclosed, and obtained a lenient decision.
- We defended the interests of an armaments group in parallel with a case of insider trading initiated before the French financial markets authority.
- We defended the interests of a pharmaceutical company and its CEO who were charged by the French financial markets authority (AMF) with insider trading and publishing deceptive information.
- We participated in advising a leading French bank in connection with requests for information made by foreign regulatory authorities to the French financial markets authority (AMF) in connection with the matter involving the manipulation of the Libor and Euribor rates.
- We defended the interests of an officer of a company in the insurance sector before the French financial markets authority (AMF) in connection with an insider trading matter, and obtained a lenient decision.
- We defended the interests of the statutory auditors of a group in the luxury goods industry in connection with the treatment of certain securities transactions, and obtained their exoneration.
- We provided legal advice to a Swiss wealth manager in connection with a proceeding by the French Treasury to recover a financial penalty imposed on it by the sanctions committee of the French financial markets authority.
- We participated in the defence of the interests of the founder of trading offices in China before the sanctions committee of the French financial markets authority (AMF) for alleged price manipulation (use of the layering technique).
- We participated in the defence of a California investment fund in connection with an investigation initiated by the French financial markets authority (AMF) for alleged insider trading.
- We defended the interests of a leading insurance company in connection with an audit of its anti-money laundering procedures ordered by the French prudential and resolution supervisory authority (ACPR), and obtained its exoneration.
- We defended the interests of an insurance company in connection with proceedings before the French prudential and resolution supervisory authority (ACPR) concerning the insurance company's anti-money laundering procedures, and obtained a lenient decision.
- We defended a biotechnology company and its CEO who were charged by the French financial markets authority (AMF) with market manipulation and failure to publish privileged information.
- We defended the interests of a well-known auction house in connection with several investigations in France on the sale of rostra, trading in which is strictly regulated, and were able to have the case dropped.
- We provided legal advice on the possibility of obtaining insurance cover for administrative financial penalties imposed by the French data protection agency (CNIL), to the exclusion of criminal penalties, incurred for possible violations of personal data protection laws.
- We advised a Swiss bank on its relations with its regulatory authority (FINMA) in parallel with a case alleging the laundering of the proceeds of tax fraud.